Seminar Description

With constant new regulations, complex business account multi-level structures and the growing popularity of trust accounts, the necessity for ongoing new account training is paramount. Banks must carefully maintain diligence as well as update processes and procedures. This full-day program is the most comprehensive seminar offered on the topic of deposit accounts. The presentation provides answers to many of the more complicated and difficult questions asked by customers and staff. The detailed manual, included in the registration and customized to state law, has become known as an invaluable resource for banks across the state. These workshops are highly interactive. Come prepared to get your questions answered!

What You Will Learn

Business Accounts
- Proper Account Title; CIP Requirements
- Business Account Documentation Requirements
- Sole Proprietorships; General Partnerships; Limited Partnerships; Limited Liability Partnerships; Limited Liability Limited Partnerships; Limited Liability Company; Corporations; Non-Profit Social Club and Associations; IOLTA; Escrow; Public or Governmental Units
- FinCEN – Beneficial Owner Due Diligence
- Documenting layered organizations

Consumer Accounts
- Joint and Single Party – WROS/WOROS
- Authorized/Convenience Signer Designation
- Power of Attorney

Trust, Fiduciary, Minor and Court Ordered Accounts
- Opening Accounts When the Owner Is Not Present
- Why are Living Trusts So Popular?
- Understanding Authority of Parties to a Trust
- Six Key Pieces of Information for Trusts
- Formal Revocable & Formal Irrevocable Trusts
- Payable on Death Accounts (POD/ITF)
- TIN Compliance for Trust Accounts
- Uniform Transfer to Minor’s Account (UTMA)
- Estate Accounts
- Guardianship/Conservatorship Accounts
- SSA Payee and VA Fiduciary Accounts
Who Should Attend?
Operations officers, compliance officers, new account representatives, CSRs, new accounts supervisors, branch managers, trainers, auditors and back office personnel who support the deposit account area. At least 85% of this information also impacts the lending area.

Seminar Instructor

Suzette “Suzie” Jones, CFP®
Training Resources Consulting, LLC. Managing Member

With over 40 years of banking experience, Suzie has a reputation as not only being extremely knowledgeable but has a unique ability to take technical information and make it interesting and applicable. After 22 years, Suzie recently retired as an Executive Vice President from a large regional bank where she provided overall leadership and risk management to the $11B Investments Division. Suzie has a unique perspective and appreciation for how difficult and complicated opening new accounts can be. For decades Suzie opened new accounts, managed new account teams, and provided hands-on input to the AML and BSA Committees within the bank.

What to Bring
- Signature card and resolutions
- Account Terms and Conditions

Registration

Fees
$225 for each Attendee

Participation in IBA programs is limited to members, associate members, and nonmembers from an eligible membership category at applicable member or non-member rates. Surcharge of 100% for Non-Members.

Image Use Policy: Registration in IBA events constitutes an agreement to the IBA’s use and distribution of the attendee’s image or voice. Details: indianabankers.org/policy

Cancellation Policy:
The IBA will send confirmations as requested for its programs. If you have questions, contact Elizabeth DeHaven at 317-387-9380 or via email at edehaven@indianabankers.org.

Within three or more business days prior to the day of an educational program, no cancellation charge will be assessed. Within two days prior, 50% of the fee is assessed. Refunds are not provided for cancellations or absences on the day of the program. Substitutions are welcome at anytime.

Location for March 10th seminar:
Indiana Wesleyan University
Education & Conference Center
8211 West Jefferson Boulevard
Fort Wayne, IN 46804

Location for March 11th seminar:
IBA Center For Professional Development
8425 Woodfield Crossing Blvd. Suite 155E
Indianapolis, IN 46240
Phone: (317) 387-9380

Opening New Account Documentation and Compliance - Dept. 39

☐ Fort Wayne - March 10, 2020
☐ Indianapolis - March 11, 2020

PAYMENT INFORMATION

Total payable to the IBA Foundation, Inc. $________

☐ Check Enclosed  ☐ VISA  ☐ MasterCard  ☐ AMEX

Card Holder’s Name  Billing Zip Code

Account Number  Exp. Date

Name

Nickname for Badge

Bank/Firm

Address

City/State/Zip

Phone  Fax

Emergency Phone # (In case of bad weather, etc)

E-Mail